

10/23/18					INTERNAL AUDIT COMPLIANCE CALENDAR Adopted by President's Cabinet 10/23/18	
Unit	Position Responsible	Compliance Activity	Deadline/Frequency	Authority	Recipient	
Internal Audit	CAO	Institutional Audit Plan Submission after institutional risk assessment;	Annually	Business Procedures Manual 16.1, 16.3.1 http://www.usg.edu/business_procedures_manual/section16/C1516/#p16.3.1_rolling_audit_plan (not updated) BOR 7.10.2 https://www.usg.edu/policymanual/section7/C477/#p7.10.2 internal audits revised and renumbered to 7.9 on 5/15/18 – BOR Policy Manual not updated as of 9/7/18	USG Office of Internal Audit and Compliance	
		Financial Audit by GA Dept. of Audits and Accounts - results	As required	BPM 16.1.3 http://www.usg.edu/business_procedures_manual/section16/C1496/#p16.1.3_state_department_of_audits_and_accounts	USG OIA/ VCFA	
		Third Party Audits- notify USG	As required	BPM 16.1.4 http://www.usg.edu/business_procedures_manual/section16/C1496/#p16.1.4_third-party_audits	USG OIA, VCFA	
		Closing engagement, issues reported	As required	BPM 16.3.6 http://www.usg.edu/business_procedures_manual/section16/C1516/#p16.3.6_closing_the_engagement	USG CAO, BOR Committee	
		Follow up review	As required	BPM 16.3.7 http://www.usg.edu/business_procedures_manual/section16/C1516/#p16.3.7_follow-up_review	USG CAO	
		Malfesance Reporting	As required	BPM 16.4.6 http://www.usg.edu/business_procedures_manual/section16/C1526/#p16.4.5_malfesance_reporting	USG CAO	
		Annual Financial Rept. not Coop Orgs, Foundation	Annually submitted by EGSC VPBA	BPM 20.1.1 http://www.usg.edu/business_procedures_manual/section20/C1572/#p20.1.1_annual_financial_report	AVC IA	

